

# Automata Theory

*CS411-2015F-14*

## *Counter Machines & Recursive Functions*

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# 14-0: Counter Machines

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- Give a Non-Deterministic Finite Automata a *counter*
  - Increment the counter
  - Decrement the counter
  - Check to see if the counter is zero

# 14-1: Counter Machines

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- A Counter Machine  $M = (K, \Sigma, \Delta, s, F)$ 
  - $K$  is a set of states
  - $\Sigma$  is the input alphabet
  - $s \in K$  is the start state
  - $F \subset K$  are Final states
  - $\Delta \subseteq ((K \times (\Sigma \cup \epsilon) \times \{zero, \neg zero\}) \times (K \times \{-1, 0, +1\}))$
- Accept if you reach the end of the string, end in an accept state, and have an empty counter.

## 14-2: Counter Machines

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- Give a Non-Deterministic Finite Automata a *counter*
  - Increment the counter
  - Decrement the counter
  - Check to see if the counter is zero
- Do we have more power than a standard NFA?

## 14-3: Counter Machines

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- Give a counter machine for the language  $a^n b^n$

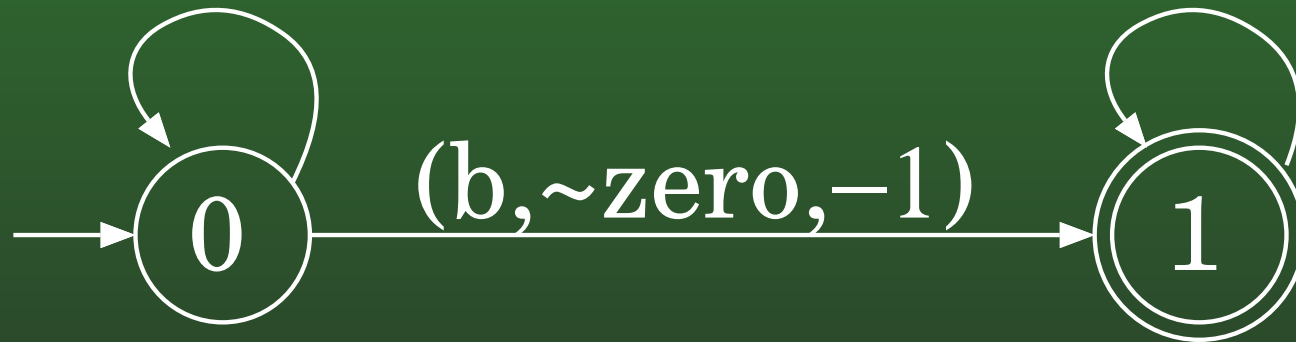
# 14-4: Counter Machines

- Give a counter machine for the language  $a^n b^n$

$(a, \text{zero}, +1)$

$(a, \sim\text{zero}, +1)$

$(b, \sim\text{zero}, -1)$



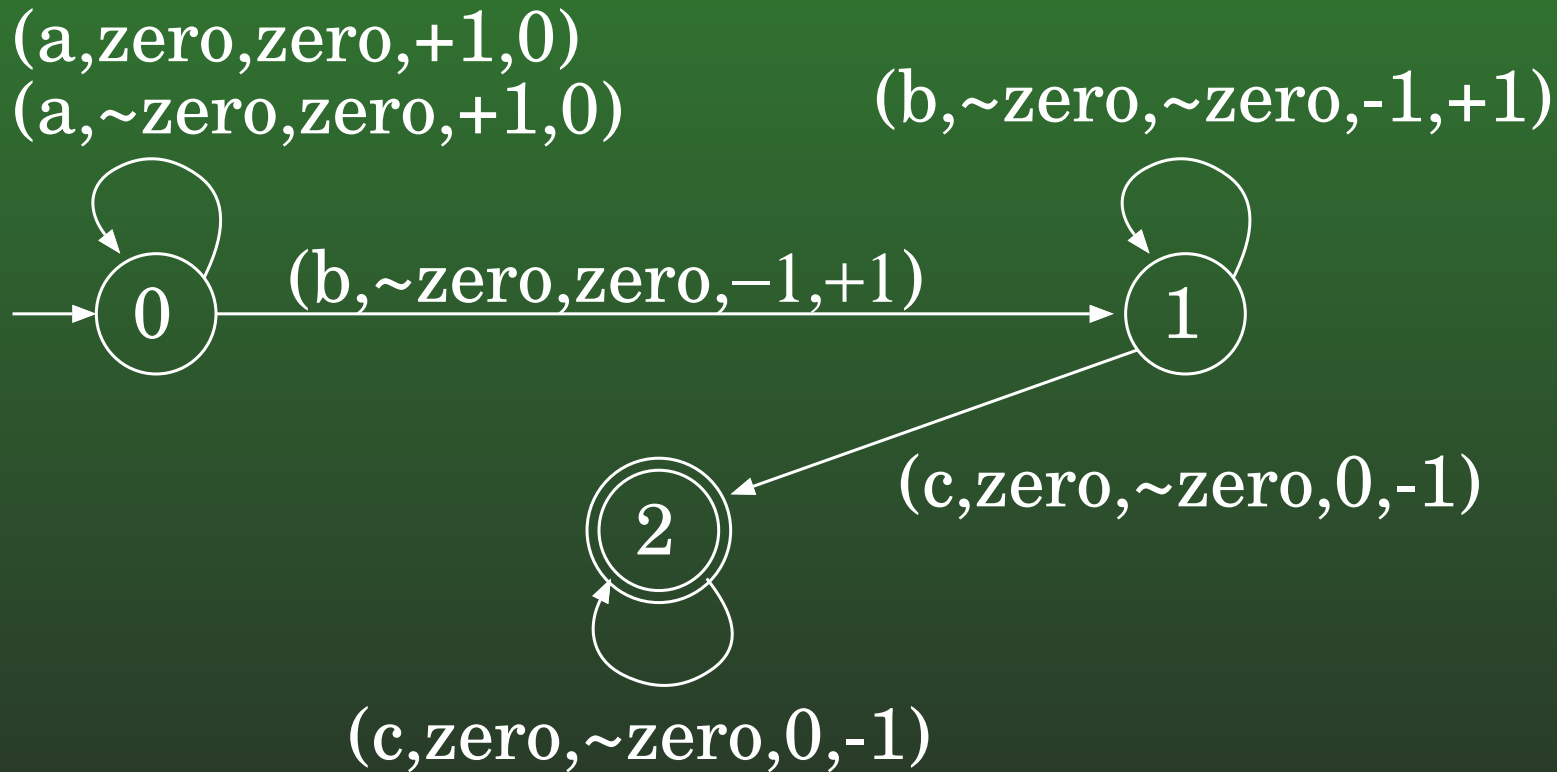
## 14-5: Counter Machines

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- Give a 2-counter machine for the language  $a^n b^n c^n$ 
  - Straightforward extension – examine (and change) two counters instead of one.

# 14-6: Counter Machines

- Give a 2-counter machine for the language  $a^n b^n c^n$





## 14-7: Counter Machines

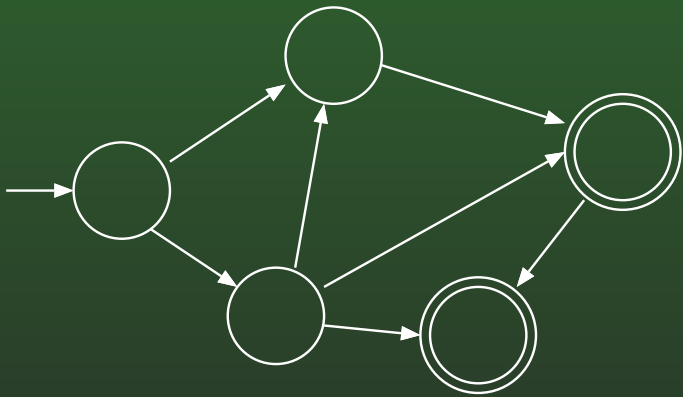
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- Our counter machines only accept if the counter is zero
  - Does this give us any *more* power than a counter machine that accepts whenever the end of the string is reached in an accept state?
  - That is, given a counter machine  $M$  that accepts only strings that both drive the machine to an accept state, and leave the counter empty, can we create a counter machine  $M'$  that accepts all strings that drive the machine to an accept state (regardless of the contents of the counter) so that  $L[M] = L[M']$ ?

# 14-8: Counter Machines

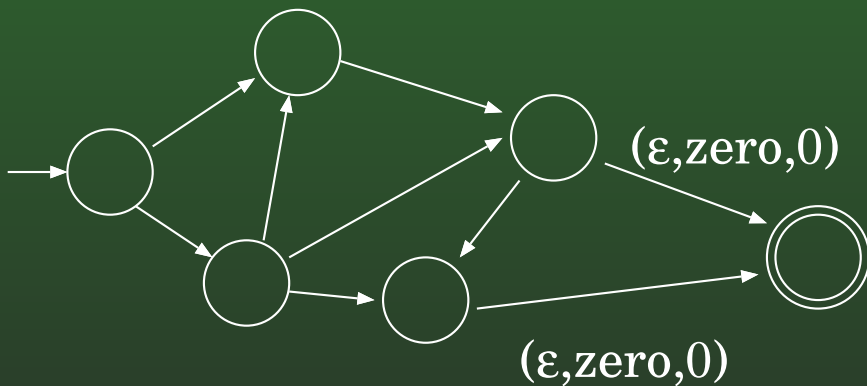
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- Our counter machines only accept if the counter is zero
  - Does this give us any *more* power than a counter machine that accepts whenever the end of the string is reached in an accept state?



# 14-9: Counter Machines

- Our counter machines only accept if the counter is zero
  - Does this give us any *more* power than a counter machine that accepts whenever the end of the string is reached in an accept state?



## 14-10: Counter Machines

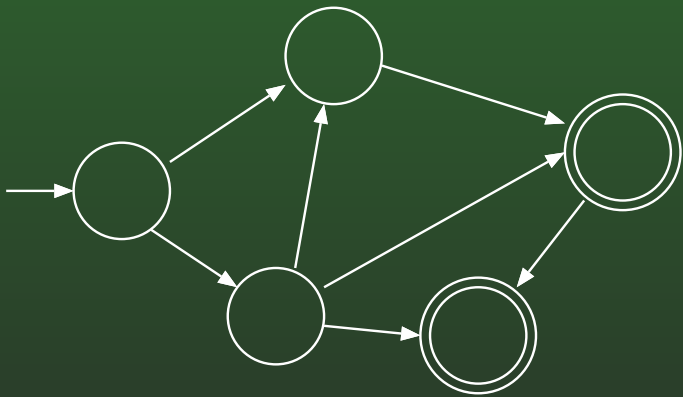
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- Our counter machines only accept if the counter is zero
  - Does this give us any *less* power than a counter machine that accepts whenever the end of the string is reached in an accept state?
  - That is, given a counter machine  $M$  that accepts all strings that drive the machine to an accept state (regardless of contents of counter), can we create a counter machine  $M'$  that accepts only strings that both drive the machine to an accept state *and* leave the counter empty, such that  $L[M] = L[M']$ ?

# 14-11: Counter Machines

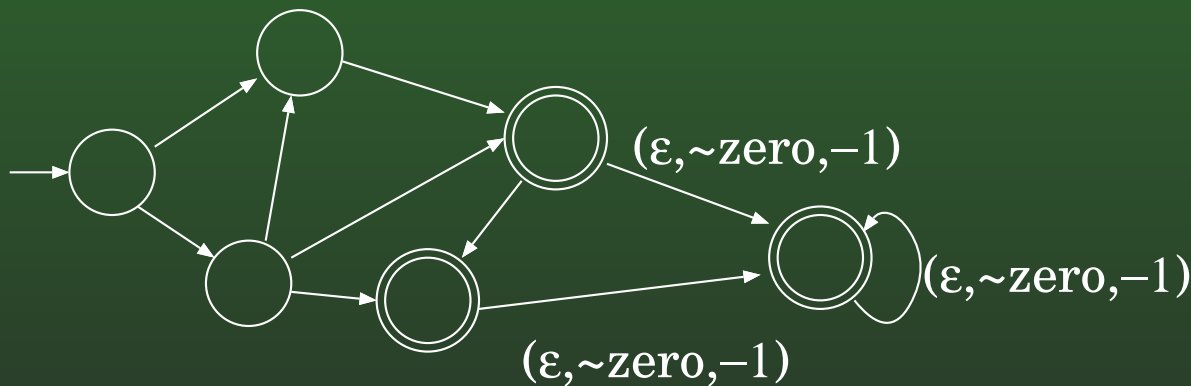
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- Our counter machines only accept if the counter is zero
  - Does this give us any *less* power than a counter machine that accepts whenever the end of the string is reached in an accept state?



# 14-12: Counter Machines

- Our counter machines only accept if the counter is zero
  - Does this give us any *less* power than a counter machine that accepts whenever the end of the string is reached in an accept state?



# 14-13: Counter Machines

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- Give a Non-Deterministic Finite Automata *two* counters
- We can use two counters to simulate a stack
  - How?
  - *HINT*: We will simulate a stack that has two symbols, 0 and 1
  - *HINT2*: Think binary

# 14-14: Counter Machines

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- We can use two counters to simulate a stack
  - One counter will store the contents of the stack
  - Other counter will be used as “scratch space”
- Stack will be represented as a binary number, with the top of the stack being the least significant bit
  - How can we push a 0?
  - How can we push a 1?



# 14-15: Counter Machines

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- How can we push a 0?
  - Multiply the counter by 2
- How can we push a 1?
  - Multiply the counter by 2, and add 1

# 14-16: Counter Machines

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- How can we multiply a counter by 2, if all we can do is increment
  - Remember, we have a “scratch counter”

# 14-17: Counter Machines

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- How can we multiply a counter by 2, if all we can do is increment
  - Set the “Scratch Counter” to 0
  - While counter is not zero:
    - Decrement the counter
    - Increment the “Scratch Counter” twice

# 14-18: Counter Machines

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- To Push a 0:
  - While Counter1  $\neq$  0
    - Increment Counter2
    - Increment Counter2
    - Decrement Counter1
  - Swap Counter1 and Counter2

# 14-19: Counter Machines

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- To Push a 1:
  - While Counter1  $\neq$  0
    - Increment Counter2
    - Increment Counter2
    - Decrement Counter1
  - Increment Counter2
  - Swap Counter1 and Counter2

# 14-20: Counter Machines

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- To Pop:
  - While Counter1  $\neq$  0
    - Decrement Counter1
    - If Counter1 = 0, popped result is 1
    - Decrement Counter1
    - If Counter1 = 0, popped result is 0
    - Increment Counter2
  - Swap Counter1 and Counter2

# 14-21: Counter Machines

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- How do we check if the simulated stack is empty?
  - We need to use 1 (not zero) to represent an empty stack (why?)
  - Stack is empty if  $(\text{counter} - 1 = 0)$

# 14-22: Counter Machines

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- Example

Stack Counter

1

Scratch Counter

0

- Stack counter starts out as 1 (represents empty stack)
- Scratch counter starts out as 0



# 14-23: Counter Machines

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- Example

Stack Counter

1

Scratch Counter

0

- Push 0

# 14-24: Counter Machines

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- Example

Stack Counter

0

Scratch Counter

1

- Decrement Stack Counter, increment scratch counter

# 14-25: Counter Machines

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- Example

Stack Counter

0

Scratch Counter

10

- Decrement Stack Counter, increment scratch counter (twice)

# 14-26: Counter Machines

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- Example

Stack Counter

0

Scratch Counter

10

- Swap Scratch Counter and Stack Counter

While Scratch Counter  $\neq$  Stack Counter  
  Decrement Scratch Counter  
  Increment Stack Counter

# 14-27: Counter Machines

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- Example

Stack Counter

10

Scratch Counter

0

- Swap Scratch Counter and Stack Counter

While Scratch Counter  $\neq$  Stack Counter  
  Decrement Scratch Counter  
  Increment Stack Counter

# 14-28: Counter Machines

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- Example

Stack Counter

10

Scratch Counter

0

- Push 1

# 14-29: Counter Machines

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- Example

Stack Counter

1

Scratch Counter

1

- Decrement Stack Counter, increment scratch counter

# 14-30: Counter Machines

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- Example

Stack Counter

1

Scratch Counter

10

- Decrement Stack Counter, increment scratch counter (twice)



# 14-31: Counter Machines

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- Example

Stack Counter

0

Scratch Counter

11

- Decrement Stack Counter, increment scratch counter

# 14-32: Counter Machines

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- Example

Stack Counter

0

Scratch Counter

100

- Decrement Stack Counter, increment scratch counter (twice)

# 14-33: Counter Machines

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- Example

Stack Counter

0

Scratch Counter

101

- Add one to scratch counter (since pushing 1, not 0)

# 14-34: Counter Machines

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- Example

Stack Counter

0

Scratch Counter

101

- Swap Scratch Counter and Stack Counter

While Scratch Counter  $\neq$  Stack Counter  
  Decrement Scratch Counter  
  Increment Stack Counter

# 14-35: Counter Machines

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- Example

Stack Counter

101

Scratch Counter

0

- Swap Scratch Counter and Stack Counter

While Scratch Counter  $\neq$  Stack Counter  
  Decrement Scratch Counter  
  Increment Stack Counter

# 14-36: Counter Machines

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- Example

Stack Counter

101

Scratch Counter

0

- Pop

# 14-37: Counter Machines

---

- Example

Stack Counter

100

Scratch Counter

0

- Decrement Stack counter

# 14-38: Counter Machines

---

- Example

Stack Counter

11

Scratch Counter

0

- Decrement Stack counter (twice)



# 14-39: Counter Machines

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- Example

Stack Counter

11

Scratch Counter

1

- Increment Scratch counter

# 14-40: Counter Machines

---

- Example

Stack Counter

10

Scratch Counter

1

- Decrement Stack counter

# 14-41: Counter Machines

---

- Example

Stack Counter

1

Scratch Counter

1

- Decrement Stack counter (twice)

# 14-42: Counter Machines

---

- Example

Stack Counter

1

Scratch Counter

10

- Increment Scratch counter

# 14-43: Counter Machines

---

- Example

Stack Counter

0

Scratch Counter

10

- Decrement Stack counter

# 14-44: Counter Machines

---

- Example

Stack Counter

0

Scratch Counter

10

- Can't Decrement Stack counter a second time (empty), so popped value is 1

# 14-45: Counter Machines

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- Example

Stack Counter

0

Scratch Counter

10

- Swap Scratch Counter and Stack Counter

While Scratch Counter  $\neq$  Stack Counter  
  Decrement Scratch Counter  
  Increment Stack Counter

# 14-46: Counter Machines

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- Example

Stack Counter

10

Scratch Counter

0

- Swap Scratch Counter and Stack Counter

While Scratch Counter  $\neq$  Stack Counter  
  Decrement Scratch Counter  
  Increment Stack Counter



# 14-47: Counter Machines

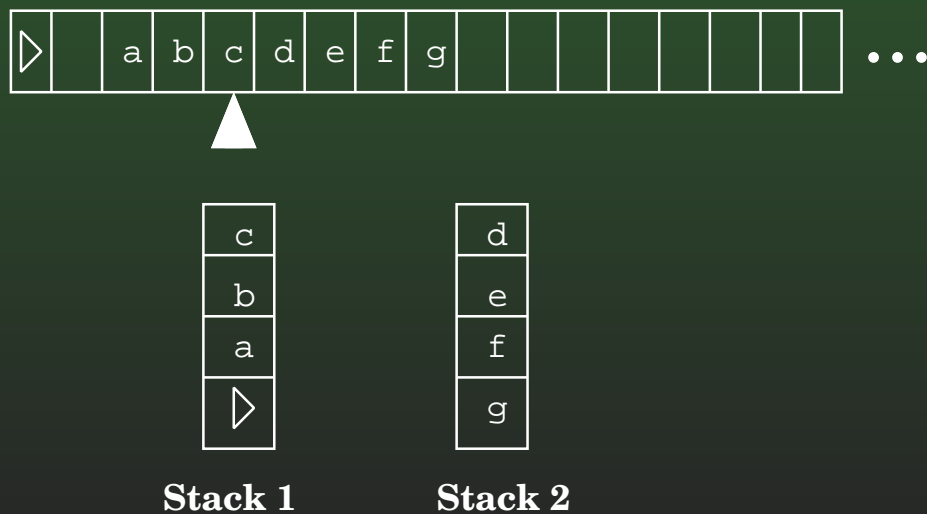
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- Two counters can simulate a stack
- Four counters can simulate two stacks
- What can we do with two stacks?

# 14-48: Counter Machines

- Two stacks can simulate a Turing Machine:
  - Stack 1: Everything to the left of the read/write head
  - Stack 2: Everything to the right of the read/write head
- Tape head points to top of Stack 1

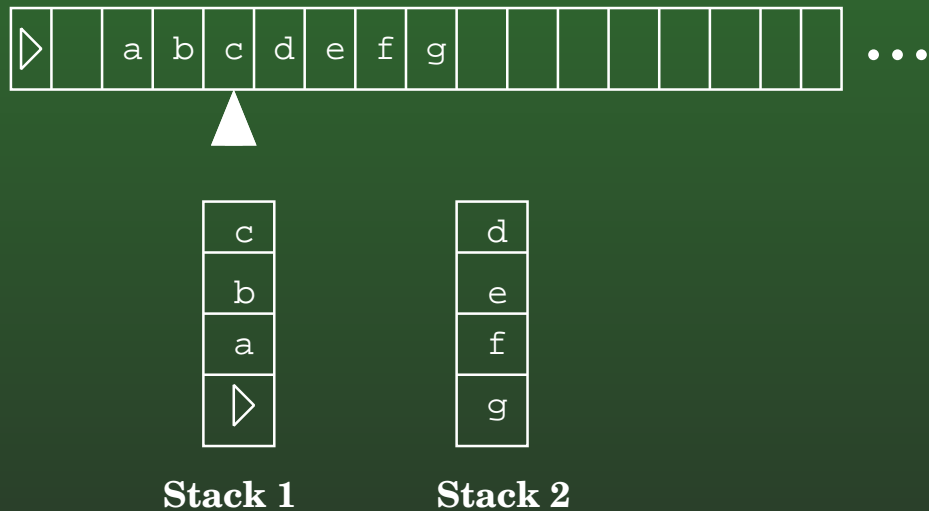
**Turing Machine**



# 14-49: Counter Machines

- To write a new symbol at the Tape Head
  - Pop old value off the top of Stack 1
  - Push new value on the top of Stack 1

**Turing Machine**



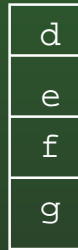
# 14-50: Counter Machines

- To write a new symbol at the Tape Head
  - Pop old value off the top of Stack 1
  - Push new value on the top of Stack 1

**Turing Machine**



**Stack 1**



**Stack 2**

# 14-51: Counter Machines

- To move the tape head to the left
  - Pop symbol off Stack 1
  - Push it on Stack 2

**Turing Machine**



**Stack 1**



**Stack 2**

# 14-52: Counter Machines

- To move the tape head to the left
  - Pop symbol off Stack 1
  - Push it on Stack 2

**Turing Machine**



**Stack 1**



**Stack 2**

# 14-53: Counter Machines

- To move the tape head to the right
  - Pop symbol off Stack 2
  - Push it on Stack 1

**Turing Machine**



**Stack 1**



**Stack 2**

# 14-54: Counter Machines

- To move the tape head to the right
  - Pop symbol off Stack 2
  - Push it on Stack 1

**Turing Machine**



**Stack 1**



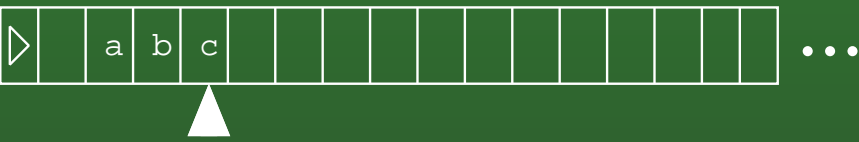
**Stack 2**



# 14-55: Counter Machines

- To move the tape head to the right, if Stack 2 is empty ...

Turing Machine



Stack 1

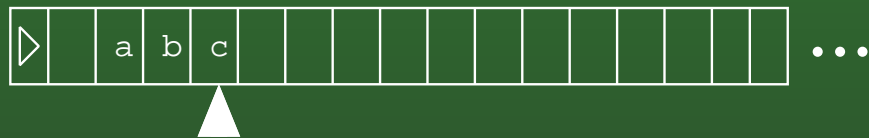


Stack 2

# 14-56: Counter Machines

- To move the tape head to the right, if Stack 2 is empty ...
  - Push a Blank Symbol on Stack 1

**Turing Machine**



**Stack 1**

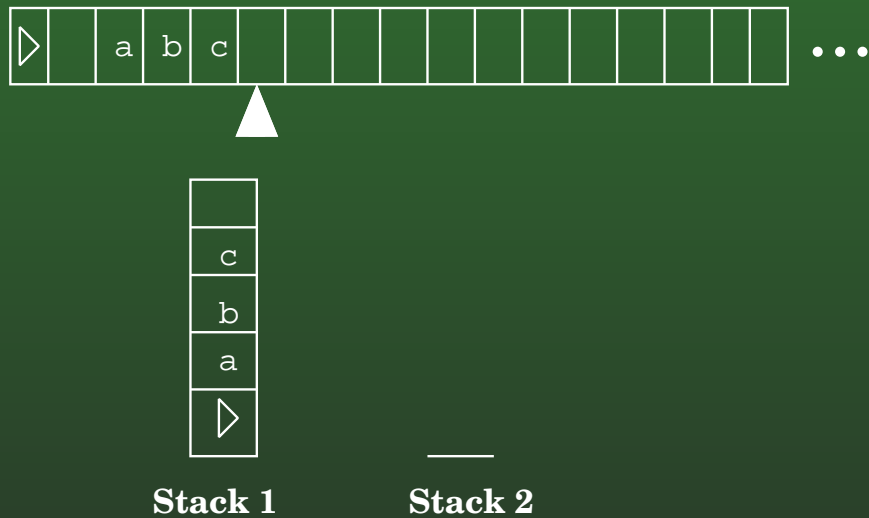


**Stack 2**

# 14-57: Counter Machines

- To move the tape head to the right, if Stack 2 is empty ...
  - Push a Blank Symbol on Stack 1

**Turing Machine**



# 14-58: Counter Machines

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- Four Counters  $\Rightarrow$  Two Stacks  $\Rightarrow$  Turing Machine
- If we can simulate a 4-counter machine with a 2-counter machine ...
- Two Counters  $\Rightarrow$  Four Counters  $\Rightarrow$  Two Stacks  $\Rightarrow$  Turing Machine

# 14-59: 2 Counter $\Rightarrow$ 4 Counter

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- We can represent 4 counters using just one counter
- Counters have values  $i, j, k, l$
- Master Counter:  $2^i 3^j 5^k 7^l$
- When all counters have value 0, master counter has value 1

# 14-60: 2 Counter $\Rightarrow$ 4 Counter

---

- Master Counter:  $2^i 3^j 5^k 7^l$ 
  - To increment counter  $j$ , multiply Master Counter by 3
    - Decrement Master Counter
    - Increment Scratch Counter 3 times
    - Repeat until Master Counter = 0
    - Move Scratch Counter to Master Counter

# 14-61: 2 Counter $\Rightarrow$ 4 Counter

---

- Master Counter:  $2^i 3^j 5^k 7^l$ 
  - To decrement counter  $j$ , divide Master Counter by 3
    - Decrement Master Counter 3 times
    - Increment Scratch Counter
    - Repeat until Master Counter = 0
    - Copy Scratch Counter to Master Counter

# 14-62: 2 Counter $\Rightarrow$ 4 Counter

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- Master Counter:  $2^i 3^j 5^k 7^l$ 
  - To check of counter  $j$  is zero, see if  $MC \bmod 3 = 0$ 
    - Decrement Master Counter 3 times (if we hit zero in the middle of this operation,  $MC \bmod 3 \neq 0$ , if he hit zero at the end,  $MC \bmod 3 = 0$ )
    - Increment Scratch Counter 3 times
    - Repeat until Master Counter = 0
    - Use Scratch Counter to restore Master Counter



# 14-63: Counter Machines

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- Machine with:
  - Finite State Control
  - Two counters
    - Increment, Decrement, check for zero
- Has full power of a Turing machine – can compute anything

# 14-64: Numerical Functions

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- New model of computation: Recursive Functions
  - Very simple functions
  - Method of combining functions
- End up with equivalent power of Turing Machines

# 14-65: Numerical Functions

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- Basic Functions:
  - Zero function:  $zero_k(n_1, \dots, n_k) = 0$
  - Identity function:  $id_{k,j}(n_1, \dots, n_k) = n_j$
  - Successor function:  $succ(n) = n + 1$  for all  $n \in \mathbb{N}$

# 14-66: Numerical Functions

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- Zero Function:
  - $zero_3(3, 11, 22) = 0$
  - $zero_2(9, 13) = 0$
  - $zero_0() = 0$

# 14-67: Numerical Functions

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- Zero Function:
  - Why have  $k$ -ary zero function, instead of just defining a the constant 0, or a single 0-ary function?
    - Notational convenience
    - “Historical Reasons”

# 14-68: Numerical Functions

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- Identity function
  - $id_{1,1}(4) = 4$
  - $id_{4,2}(3, 7, 9, 5) = 7$
  - $id_{5,5}(9, 11, 4, 5, 20) = 20$

# 14-69: Numerical Functions

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- Successor Function
  - $\text{succ}(0) = 1$
  - $\text{succ}(1) = 2$
  - $\text{succ}(2) = 3$
  - $\text{succ}(57) = 58$

# 14-70: Numerical Functions

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- Combining Functions:
  - Composition
    - $g : \mathbb{N}^k \mapsto \mathbb{N}$  any  $k$ -ary function
    - $h_1, \dots, h_k$   $l$ -ary functions
    - Composition of  $g$  with  $h_1, \dots, h_k$

$$f(n_1, \dots, n_l) = g(h_1(n_1, \dots, n_l), \dots, h_k(n_1, \dots, n_l))$$



# 14-71: Numerical Functions

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- Composition:
  - $plus2(x) = succ(succ(x))$
  - $plus3(x) = succ(succ(succ(x)))$

# 14-72: Numerical Functions

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- Composition: Constant functions
  - $f() = 5 = \text{succ}(\text{succ}(\text{succ}(\text{succ}(\text{succ}(\text{zero()}))))))$
  - $f(3, 2) = 2 = \text{succ}(\text{succ}(\text{zero()}))$

# 14-73: Numerical Functions

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- Combining Functions:
  - Recursion
    - $k$ -ary function  $g$ ,  $k + 2$ -ary function  $h$
    - Function  $f$  defined recursively by  $g$  and  $h$ :

$$f(n_1, \dots, n_k, 0) = g(n_1, \dots, n_k)$$

$$f(n_1, \dots, n_k, m + 1) = h(n_1, \dots, n_k, m, f(n_1, \dots, n_k, m))$$

# 14-74: Numerical Functions

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- Recursive functions:

$$\textit{plus}(m, 0) = m$$

$$\textit{plus}(m, n + 1) = \textit{succ}(\textit{plus}(m, n))$$

# 14-75: Numerical Functions

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- Recursive functions:

$$\textit{plus}(m, 0) = m$$

$$\textit{plus}(m, n + 1) = \textit{succ}(\textit{plus}(m, n))$$

- $g(n) = \textit{id}_{1,1}(n) = n$
- $h(n_1, n_2, n_3) = \textit{succ}(n_3)$

# 14-76: Numerical Functions

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- Recursive functions:

$$\begin{aligned} \text{mult}(m, 0) &= \\ \text{mult}(m, n + 1) &= \end{aligned}$$

# 14-77: Numerical Functions

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- Recursive functions:

$$\mathit{mult}(m, 0) = \mathit{zero}(m)$$

$$\mathit{mult}(m, n + 1) = \mathit{plus}(m, \mathit{mult}(m, n))$$

# 14-78: Numerical Functions

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- Recursive functions:

$$\mathit{mult}(m, 0) = \mathit{zero}(m)$$

$$\mathit{mult}(m, n + 1) = \mathit{plus}(m, \mathit{mult}(m, n))$$

- $g(n) = \mathit{zero}(n)$
- $h(n_1, n_2, n_3) = \mathit{plus}(n_1, n_3)$



# 14-79: Numerical Functions

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- Recursive functions:

$$\begin{aligned} \text{exp}(m, 0) &= \\ \text{exp}(m, n + 1) &= \end{aligned}$$

# 14-80: Numerical Functions

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- Recursive functions:

$$\begin{aligned} \text{exp}(m, 0) &= \text{suc}(\text{zero}(m)) \\ \text{exp}(m, n + 1) &= \text{mult}(m, \text{exp}(m, n)) \end{aligned}$$

- $g(n) = \text{succ}(\text{zero}(n))$
- $h(n_1, n_2, n_3) = \text{mult}(n_1, n_3)$

# 14-81: Numerical Functions

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- Recursive functions:

$$\begin{aligned} \mathit{fact}(0) &= \\ \mathit{fact}(n + 1) &= \end{aligned}$$

# 14-82: Numerical Functions

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- Recursive functions:

$$\begin{aligned} \mathit{fact}(0) &= \mathit{suc}(\mathit{zero}()) \\ \mathit{fact}(n + 1) &= \mathit{mult}(n + 1, \mathit{fact}(n)) \end{aligned}$$

- $g(n) = \mathit{succ}(\mathit{zero}(n))$
- $h(n_1, n_2) = \mathit{mult}(\mathit{succ}(n_1), n_2)$

# 14-83: Numerical Functions

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- Recursive functions:

$$\mathit{pred}(0) = 0$$

$$\mathit{pred}(n + 1) = n$$

- $g(n) = \mathit{zero}(n)$
- $h(n_1, n_2) = \mathit{id}_{12}(n_1, n_2) = n_1$

# 14-84: Numerical Functions

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- Recursive functions:

$$\mathit{sub}(m, 0) = m$$

$$\mathit{sub}(m, n + 1) = \mathit{pred}(\mathit{sub}(m, n))$$

What is  $\mathit{sub}(3, 5)$ ? Why?

# 14-85: Numerical Functions

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- Predicate functions
  - $iszero(n) = 1$  if  $n = 0$ , and 0 otherwise

$$iszero(0) = 1$$

$$iszero(m + 1) = 0$$

# 14-86: Numerical Functions

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- Predicate functions
  - $geq(m, n) = 1$  if  $m \geq n$ , and 0 otherwise

$$geq(m, n) =$$



# 14-87: Numerical Functions

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- Predicate functions
  - $geq(m, n) = 1$  if  $m \geq n$ , and 0 otherwise

$$geq(m, n) = iszero(sub(n, m))$$

# 14-88: Numerical Functions

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- Predicate functions
  - $lt(m, n) = 1$  if  $m < n$ , and 0 otherwise

# 14-89: Numerical Functions

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- Predicate functions
  - $lt(m, n) = 1$  if  $m < n$ , and 0 otherwise

$$lt(m, n) = sub(1, geq(m, n))$$

# 14-90: Numerical Functions

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- Predicate functions
  - $and(m, n) = 1$  if  $m = 1$  and  $n = 1$ , and 0 otherwise

# 14-91: Numerical Functions

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- Predicate functions
  - $and(m, n) = 1$  if  $m = 1$  and  $n = 1$ , and 0 otherwise

$$and(m, n) = mult(m, n)$$

# 14-92: Numerical Functions

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- Predicate functions
  - $or(m, n) = 1$  if  $m = 1$  or  $n = 1$ , and 0 otherwise

# 14-93: Numerical Functions

---

- Predicate functions
  - $or(m, n) = 1$  if  $m = 1$  or  $n = 1$ , and 0 otherwise

$$or(m, n) = sub(1, iszero(plus(m, n)))$$

# 14-94: Numerical Functions

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- Defining functions by cases:

$$f(n_1, \dots, n_k) = \begin{cases} g(n_1, \dots, n_k) & \text{if } p(n_1, \dots, n_k) \\ h(n_1, \dots, n_k) & \text{otherwise} \end{cases}$$



# 14-95: Numerical Functions

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- Defining functions by cases:

$$\begin{aligned} \mathit{rem}(0, n) &= 0 \\ \mathit{rem}(m + 1, n) &= \begin{cases} 0 & \text{if } \mathit{equal}(\mathit{rem}(m, n), \mathit{pred}(n)) \\ \mathit{rem}(m, n) + 1 & \text{otherwise} \end{cases} \end{aligned}$$

(Using first parameter of function as recursion control)

# 14-96: Numerical Functions

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- Defining functions by cases:

$$\mathit{div}(0, n) = 0$$

$$\mathit{div}(m + 1, n) = \begin{cases} \mathit{div}(m, n) + 1 & \text{if } \mathit{equal}(\mathit{rem}(m, n), \mathit{pred}(n)) \\ \mathit{div}(m, n) & \text{otherwise} \end{cases}$$

(Using first parameter of function as recursion control)

# 14-97: Numerical Functions

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- Defining functions by cases:

$$f(n_1, n_2, \dots, n_k) = \begin{cases} g(n_1, n_2, \dots, n_k) & \text{if } P(n_1, n_2, \dots, n_k) \\ h(n_1, n_2, \dots, n_k) & \text{otherwise} \end{cases}$$

How can we get “functions by cases” using the tools we already have?

# 14-98: Numerical Functions

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- Defining functions by cases:

$$f(n_1, n_2, \dots, n_k) = \begin{cases} g(n_1, n_2, \dots, n_k) & \text{if } P(n_1, n_2, \dots, n_k) \\ h(n_1, n_2, \dots, n_k) & \text{otherwise} \end{cases}$$

$$f(n_1, n_2, \dots, n_k) = P(n_1, n_2, \dots, n_k) * g(n_1, n_2, \dots, n_k) \\ + ((1 - P(n_1, n_2, \dots, n_k)) * \\ h(n_1, n_2, \dots, n_k))$$

# 14-99: Numerical Functions

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- Are there any functions which we can compute, that *cannot* be computed with primitive recursive functions?

# 14-100: Numerical Functions

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- Are there any functions which we can compute, that *cannot* be computed with primitive recursive functions?
  - Yes!
  - Use a diagonalization argument
- To make life easier, we will only consider functions that take a single argument (unary functions)

# 14-101: Numerical Functions

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- Unary Primitive Recursive Functions can be enumerated
  - That is, we can define an order over all unary primitive recursive functions,  
 $f_1(n), f_2(n), f_3(n), \dots$
  - How can we order them?

# 14-102: Numerical Functions

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- Enumerating Unary Primitive Recursive Functions
  - Each function is created by combining basic functions (succ, zero, select, etc) using composition and recursion
  - Can describe any function using a string
  - Order the strings in lexicographic order (shortest to longest, using standard string compare for strings of the same length)



# 14-103: Numerical Functions

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- Let the unary primitive recursive functions be:  
 $f_0, f_1, f_2, f_3, \dots$
- Define a new function  $g(n) = f_n(n) + 1$ 
  - We can compute  $g(n)$  by first finding the  $n$ th unary recursive function  $f_n$ , computing  $f_n(n)$ , and adding 1 to the result

# 14-104: Numerical Functions

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- Let the unary primitive recursive functions be:  
 $f_0, f_1, f_2, f_3, \dots$
- Define a new function  $g(n) = f_n(n) + 1$ 
  - We can compute  $g(n)$  by first finding the  $n$ th unary recursive function  $f_n$ , computing  $f_n(n)$ , and adding 1 to the result
- $g(n)$  can be computed (we just showed how)
- $g(n)$  cannot be computed by a primitive recursive function! (why not?)

# 14-105: Numerical Functions

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- $g(n)$  can be computed (we just showed how)
- $g(n)$  cannot be computed by a primitive recursive function! (why not?)
  - Not computed by the 0th primitive recursive function
  - Not computed by the 1st primitive recursive function
  - Not computed by the 2nd primitive recursive function
  - ...

# 14-106: Numerical Functions

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- There are some well defined functions, which we can compute, which cannot be computed by primitive recursive functions.
- Can we add anything to primitive recursive functions to give them more power, so that any well defined function that can be computed can be computed with recursive functions?

# 14-107: Numerical Functions

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- Minimization
  - If  $g$  is a  $(k + 1)$ -ary function. The minimization of  $g$  is the  $k$ -ary function  $f$  defined as:

$$f(n_1, \dots, n_k) = \begin{cases} \text{The least } m \text{ such that} \\ \quad g(n_1, \dots, n_k, m) = 1, \\ \quad \text{if such an } m \text{ exists} \\ 0 \text{ otherwise} \end{cases}$$

Minimization of  $g$  is denoted  $\mu m [g(n_1, \dots, n_k, m) = 1]$

# 14-108: Numerical Functions

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- Minimization Examples

$$\text{div}(x, y) = \mu z[(y * (z + 1)) - x > 0]$$

“ $-$ ” is “positive subtraction” (that is, if  $y > x$ , then  $x - y = 0$ )

$$\begin{aligned} \text{div}(x, y) &= z \\ y * z &\leq x \\ y * (z + 1) &> x \end{aligned}$$

# 14-109: Numerical Functions

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- Minimization Examples

$$\log(m, n) = \mu p[\text{power}(m, p) \geq n]$$

“ $\geq$ ” is the “greater-than-or-equal” predicate

# 14-110: Numerical Functions

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- Calculating minimalization:

```
 $m \leftarrow 0;$   
while ( $g(n_1, \dots, n_k, m) \neq 1$ )  
     $m \leftarrow m + 1$   
return  $m$ 
```



# 14-111: Numerical Functions

---

- Calculating minimalization:

```
 $m \leftarrow 0;$   
while ( $g(n_1, \dots, n_k, m) \neq 1$ )  
     $m \leftarrow m + 1$   
return  $m$ 
```

... of course, this may never terminate, if there is no value of  $m$  such that  $g(n_1, \dots, n_k, m) = 1$

# 14-112: Numerical Functions

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- A function  $g(n_1, \dots, n_k, m)$  is *minimalizable* if
  - For each  $n_1, \dots, n_k \in \mathbb{N}$ , there exists some  $m$  such that  $g(n_1, \dots, n_k, m) = 1$

That is:

```
 $m \leftarrow 0;$   
while ( $g(n_1, \dots, n_k, m) \neq 1$ )  
     $m \leftarrow m + 1$   
return  $m$ 
```

always terminates, for all values  $n_1, \dots, n_k$

# 14-113: Numerical Functions

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- $\mu$ -Recursive
  - A function is  $\mu$ -recursive if it consists entirely of primitive-recursive functions, and minimalizations of minimalizable functions.
  - $\mu$ -recursive functions can calculate anything that can be decided by a Turing machine
    - (recall that “decide” means the TM halts on all inputs)

# 14-114: Numerical Functions

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- $\mu$ -recursive functions can calculate anything that can be decided by a Turing machine
  - We can enumerate  $\mu$ -recursive functions just like we enumerated primitive recursive functions  $f_0, f_1, f_2, \dots$
  - We can define the function  $g(n) = f_n(n) + 1$
  - How can I assert that  $\mu$ -recursive functions can compute anything that a Turing Machine can compute, when  $\mu$ -recursive functions can't compute  $g$ ?

# 14-115: Numerical Functions

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- Method to compute  $g(n)$  using a Turing machine:
  - Enumerate first  $n + 1$  functions  $f$ 
    - $f_0, f_1, \dots, f_n$
  - Compute  $f_n(n)$
  - Output  $f_n(n) + 1$

# 14-116: Numerical Functions

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- Method to compute  $g(n)$  using a Turing machine:
  - Enumerate first  $n + 1$  functions  $f$ 
    - $f_0, f_1, \dots, f_n$
  - **Compute**  $f_n(n)$
  - Output  $f_n(n) + 1$
- Function  $f_n$  might not be minimalizable! If  $f_n(n)$  is not minimalizable, then  $f_n(n) = 0$ , but we have no way of discovering this!

# 14-117: Recursive Languages

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- $\mu$ -recursive functions can calculate anything that can be decided by a Turing machine.
- $\{L : L \text{ is decided by some TM } M\}$  is the recursive languages
- How can a function from the natural numbers to the natural numbers decide a language?

# 14-118: Recursive Languages

---

- How can a function from the natural numbers to the natural numbers decide a language?
  - Any string can be encoded as a number
    - ASCII-style encoding scheme to encode each symbol in string
    - Append codes of each symbol together to get a (really large) number

$$\Sigma = \{a, \dots, z\}, \text{en}(a) = 10, \text{en}(b) = 11, \dots,$$
$$\text{en}(z) = 35$$
$$\text{en}(abbz) = 10111135$$



# 14-119: Recursive Languages

---

- How can a function from the natural numbers to the natural numbers decide a language?
  - Any string can be encoded as a number
  - Predicate function can be used to determine membership

$$L[f] = \{w : f(en(w)) = 1\}$$

# 14-120: $\mu$ -Recursive Functions & TMs

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- Any  $\mu$ -recursive function can be decided by a Turing machine
  - Each of the primitive-recursive functions can easily be simulated by a Turing machine
  - Any minimalizable function can be computed by a Turing machine that tries all values in order

$m \leftarrow 0;$

**while**  $(g(n_1, \dots, n_k, m) \neq 1)$

$m \leftarrow m + 1$

**return**  $m$

# 14-121: $\mu$ -Recursive Functions & TMs

---

- Any function that can be decided by a Turing machine can be computed with a  $\mu$ -recursive function
  - We can encode a configuration as a number
  - We can encode a sequence of configurations with a (much larger) number  
 $\text{config}_1 \text{config}_2 \text{config}_3 \dots \text{config}_n$
- Each configuration encodes tape contents, head location, and current state of the Turing Machine

# 14-122: $\mu$ -Recursive Functions & TMs

---

- We have a large number, which represents a series of configurations for a Turing Machine  $\text{config}_1 \text{config}_2 \text{config}_3 \dots \text{config}_n$
- We can write a primitive-recursive predicate function *isvalid* that examines this string of configurations, and determines if it is legal
  - if  $\text{config}_i \text{config}_j$  appears in the sequence
  - Turing machine will move from  $\text{config}_i$  to  $\text{config}_j$  in a single step

# 14-123: $\mu$ -Recursive Functions & TMs

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- $isvalid(n)$ 
  - Predicate function
  - True if  $n$  is a number which represents a valid sequence of configurations of a Turing Machine
  - Writing  $isvalid$  for a particular Turing Machine is reasonably straightforward
    - Extract 1st and 2nd configurations from the number (using div and mod)
    - Make sure that the transition from 1st to 2nd configuration is valid
    - Recursively check the rest of the transitions

# 14-124: $\mu$ -Recursive Functions & TMs

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- Given a number which represents a valid sequence of configurations for the Turing Machine  $M$ , if:
  - If the first configuration represents the initial state and the input  $n$
  - The last configuration contains a halting state  $h$
  - The tape contents of the last configuration represents the value  $y$
- Then the Turing Machine  $M$  gives the output  $y$  for the input  $n$

# 14-125: $\mu$ -Recursive Functions & TMs

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- Given a number which represents a sequence of configurations, and an input  $n$ , we can:
  - Determine if the sequence of configurations is valid
  - Ensure that the first configuration encodes  $n$
  - Ensure that the last configuration contains a halting state

# 14-126: $\mu$ -Recursive Functions & TMs

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- Function  $check\_compute(n, x)$ 
  - Takes as input a string of configurations  $n$ , and an initial configuration  $x$
  - Returns 1 (true) if  $n$  is a valid series of computations that starts with  $x$ 
    - $invalid(n) = 1$
    - $first(n) = x$



# 14-127: $\mu$ -Recursive Functions & TMs

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- Function  $compute(x)$ 
  - Calculates and returns the string of valid configurations that starts with  $x$  and ends in a halting state

# 14-128: $\mu$ -Recursive Functions & TMs

---

- Function  $compute(x)$ 
  - Calculates and returns the string of valid configurations that starts with  $x$  and ends in a halting state

$$compute(x) = \mu n[check\_compute(n, x)]$$

# 14-129: $\mu$ -Recursive Functions & TMs

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- Function  $f_M$ , that calculates the same function as the Turing Machine  $M$ :

$$f_M(x) = \text{last}(\text{compute}(x))$$