

## 16-0: Enumeration Machines

- An Enumeration Machine is a special kind of Turing Machine:
  - Takes no input
  - Produces strings as output
- Turn it on, and it start spitting out strings

## 16-1: Enumeration Machines

- Enumeration Machine  $M$ :
  - $M$  has a special (non-halting) “output state”
  - Whenever  $M$  enters “output state”, contents of the tape are output
    - We will insist that the tape is of the form  $\triangleright \sqcup w$
  - $M$  runs forever, outputting strings
  - $L[M] = \{w : w \text{ is eventually ouput by } M\}$

## 16-2: Enumeration Machines

- Enumeration machine for  $a^*$  (output state is  $q_{out}$ )

	$a$	$\sqcup$
$q_0$		$(q_1, \rightarrow)$
$q_1$	$(q_1, \rightarrow)$	$(q_2, a)$
$q_2$	$(q_2, \leftarrow)$	$(q_{out}, \sqcup)$
$q_{out}$		$(q_1, \rightarrow)$

## 16-3: Enumeration Machines

- Enumeration machine for  $ba^*b$

## 16-4: Enumeration Machines

- Enumeration machine for  $ba^*b$

	$a$	$b$	$\sqcup$
$q_0$			$(q_1, \rightarrow)$
$q_1$			$(q_2, b)$
$q_2$		$(q_2, \rightarrow)$	$(q_3, b)$
$q_3$	$(q_3, \leftarrow)$	$(q_3, \leftarrow)$	$(q_{out}, \sqcup)$
$q_4$	$(q_4, \rightarrow)$	$(q_4, \rightarrow)$	$(q_5, b)$
$q_5$		$(q_6, \leftarrow)$	
$q_6$		$(q_3, a)$	
$q_{out}$			$(q_4, \rightarrow)$

## 16-5: Enumeration &amp; Recursive

- All recursive languages can be enumerated
  - How?

## 16-6: Enumeration &amp; Recursive

- All recursive languages can be enumerated
  - If a language is recursive, there exists some TM  $M$  that decides it
  - Generate each string in  $\Sigma^*$  in lexicographic order
  - Run each generated string through  $M$ .
    - If  $M$  says “yes”, output the string

**16-7: Enumeration & r.e.**

- Can a recursively enumerable languages be enumerated?
  - The name does give a hint ...

**16-8: Enumeration & r.e.**

- Recursively enumerable languages can be enumerated!
  - The enumeration method for recursive languages doesn't work
  - Why?

**16-9: Enumeration & r.e.**

- Recursively enumerable languages can be enumerated!
  - We will use the same trick we used to show that a deterministic TM can be simulated by a non-deterministic TM
  - Try first (lexicographicly) string for 1 step
  - Try first and second strings for 2 steps each
  - Try first, second, and third strings for 3 steps each
  - ... and so on

**16-10: Enumeration & r.e.**

- Recursively enumerable languages can be enumerated
- We have no idea in what order the strings in the language will be output.
  - Why?
- What if we had an enumeration machine that could output the strings of a language  $L$  in lexicographical order – what would we know about  $L$ ?

**16-11: Enumeration & r.e.**

- If an enumeration machine outputs the strings of a language  $L$  in lexicographical order, then  $L$  is recursive.
- We can write a Turing Machine  $M$  that decides  $L$
- To determine if  $w$  is in  $L$ :
  - Start running the enumeration machine
  - Eventually, either  $w$  will be output, or some string that appears after  $w$  lexicographically will be output.

**16-12: Enumeration & r.e.**

- If a language can be enumerated by an enumeration machine, it can be semi-decided by a (standard) Turing machine
  - Given an enumeration machine that generates  $L$ , how can we create a standard Turing machine that semi-decides  $L$ ?

**16-13: Enumeration & r.e.**

- Given an enumeration machine that generates  $L$ , we can create a standard Turing machine that semi-decides  $L$ 
  - Move tape head just beyond input
  - Start up enumeration machine
  - Each time a string is output, check to see if it matches input string. If so, halt and accept

**16-14: Recursive & r.e.**

- A Language  $L$  is recursive if and only if both  $L$  and  $\bar{L}$  are recursively enumerable
  - $L$  is recursive if there exists a Turing Machine  $M$  that decides  $L$
  - $L$  is recursively enumerable if there exists a Turing Machine  $M$  that semi-decides  $L$

**16-15: Recursive & r.e.**

- A Language  $L$  is recursive if and only if both  $L$  and  $\bar{L}$  are recursively enumerable
  - “only if” (If  $L$  is recursive, then  $L$  and  $\bar{L}$  are recursively enumerable)

**16-16: Recursive & r.e.**

- A Language  $L$  is recursive if and only if both  $L$  and  $\bar{L}$  are recursively enumerable
  - “only if”
    - If  $L$  is recursive, then  $L$  is recursively enumerable
    - If  $L$  is recursive, then  $\bar{L}$  is recursive (swap yes/no states), and hence  $\bar{L}$  is recursively enumerable

**16-17: Recursive & r.e.**

- A Language  $L$  is recursive if and only if both  $L$  and  $\bar{L}$  are recursively enumerable
  - “if” (If  $L$  and  $\bar{L}$  are recursively enumerable, then  $L$  is recursive)

**16-18: Recursive & r.e.**

- A Language  $L$  is recursive if and only if both  $L$  and  $\bar{L}$  are recursively enumerable
  - “if”
    - Run r.e. machines for  $L$  and  $\bar{L}$  in parallel
    - Eventually one of them will halt

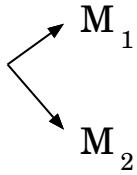
**16-19: Properties of r.e. Languages**

- Are the recursively enumerable languages closed under union?

- Given a Turing Machines  $M_1$  and  $M_2$ , can we create a Turing Machine  $M$  such that  $L[M] = L[M_1] \cup L[M_2]$ ?

#### 16-20: Properties of r.e. Languages

- Are the recursively enumerable languages closed under union?
  - Given a Turing Machines  $M_1$  and  $M_2$ , can we create a Turing Machine  $M$  such that  $L[M] = L[M_1] \cup L[M_2]$ ?



#### 16-21: Properties of r.e. Languages

- Are the recursively enumerable languages closed under intersection?
  - Given a Turing Machines  $M_1$  and  $M_2$ , can we create a Turing Machine  $M$  such that  $L[M] = L[M_1] \cap L[M_2]$ ?

#### 16-22: Properties of r.e. Languages

- Given a Turing Machines  $M_1$  and  $M_2$ , can we create a Turing Machine  $M$  such that  $L[M] = L[M_1] \cap L[M_2]$ ?
  - First, make a backup copy of  $w$
  - Run  $M_1$  on  $w$ . If it halts and accepts ...
  - Restore  $w$  from the backup and run  $M_2$  on  $w$
  - Return result of running  $M_2$  on  $w$

#### 16-23: Properties of r.e. Languages

- Are the recursively enumerable languages closed under complementation?
  - Given a Turing Machines  $M$ , can we create a Turing Machine  $M'$  such that  $L[M'] = \overline{L[M]}$ ?

#### 16-24: Properties of r.e. Languages

- Given a Turing Machines  $M$ , can we create a Turing Machine  $M'$  such that  $L[M'] = \overline{L[M]}$ ?
- NO!
  - If  $L$  and  $\overline{L}$  are r.e., then  $L$  is recursive.
  - There are some r.e. languages (the halting problem, for instance) that are not recursive

#### 16-25: Rice's Theorem

- Determining if the language accepted by a Turing machine has any non-trivial property is undecidable
- “Non-Trivial” property means:
  - At least one recursively enumerable language has the property

- Not all recursively enumerable languages have the property
- Example: Is the language accepted by a Turing Machine  $M$  regular?

**16-26: Rice's Theorem**

- Problem: Is the language defined by the Turing Machine  $M$  recursively enumerable?
  - Is this problem decidable?

**16-27: Rice's Theorem**

- Problem: Is the language defined by the Turing Machine  $M$  recursively enumerable?
  - Is this problem decidable? YES!
- All recursively enumerable languages are recursively enumerable.
- The question is "trivial"

**16-28: Rice's Theorem**

- Problem: Does the Turing Machine  $M$  accept the string  $w$  in  $k$  computational steps?
  - Is this problem decidable?

**16-29: Rice's Theorem**

- Problem: Does the Turing Machine  $M$  accept the string  $w$  in  $k$  computational steps?
  - Is this problem decidable? YES!
  - Problem is not language related – we're not asking a question about the language that is accepted, but about the language that is accepted *within a certain number of steps*

**16-30: Rice's Theorem – Proof**

- We will prove Rice's theorem by showing that, for any non-trivial property  $P$ , we can reduce the halting problem to the problem of determining if the language accepted by a Turing Machine has Property  $P$ .
- Given any Machine  $M$ , string  $w$ , and non-trivial property  $P$ , we will create a new machine  $M'$ , such that either
  - $L[M']$  has property  $P$  if and only if  $M$  halts on  $w$
  - $L[M']$  has property  $P$  if and only if  $M$  does not halt on  $w$

**16-31: Rice's Theorem – Proof**

- Let  $P$  be some non-trivial property of a language.
- Two cases:
  - The empty language  $\{\}$  has the property
  - The empty language  $\{\}$  does not have the property

**16-32: Rice's Theorem – Proof**

- Properties that the empty language has:

- Regular Languages
- Languages that do not contain the string “aab”
- Languages that are finite
- Properties that the empty language does not have:
  - Languages containing the string “aab”
  - Languages containing at least one string
  - Languages that are infinite

16-33: **Rice's Theorem – Proof**

- Let  $M$  be any Turing Machine,  $w$  be any input string, and  $P$  be any non-trivial property of a language, such that  $\{\}$  has property  $P$ .
- Let  $L_{NP}$  be some recursively enumerable language that does *not* have the property  $P$ , and let  $M_{NP}$  be a Turing Machine such that  $L[M_{NP}] = L_{NP}$
- We will create a machine  $M'$  such that  $M'$  has property  $P$  if and only if  $M$  does not halt on  $w$ .

16-34: **Rice's Theorem – Proof**

- $M'$ :
  - Save input
  - Erase input, simulate running  $M$  on  $w$
  - Restore input
  - Simulates running  $M_{NP}$  on input

16-35: **Rice's Theorem – Proof**

- $M'$ :
  - Save input
  - Erase input, simulate running  $M$  on  $w$
  - Restore input
  - Simulates running  $M_{NP}$  on input
- If  $M$  halts on  $w$ ,  $L[M'] = L_{NP}$ , and  $L[M']$  does not have property  $P$
- If  $M$  does not halt on  $w$ ,  $L[M'] = \{\}$ , and  $L[M']$  does have property  $P$

16-36: **Rice's Theorem – Proof**

- Let  $M$  be any Turing Machine,  $w$  be any input string, and  $P$  be any non-trivial property of a language, such that  $\{\}$  *does not* have property  $P$ .
- Let  $L_{NP}$  be some recursively enumerable language that *does* have the property  $P$ , and let  $M_P$  be a Turing Machine such that  $L[M_P] = L_P$
- We will create a machine  $M'$  such that  $M'$  has property  $P$  if and only if  $M$  does halt on  $w$ .

16-37: **Rice's Theorem – Proof**

- $M'$ :
  - Save input
  - Erase input, simulate running  $M$  on  $w$
  - Restore input
  - Simulates running  $M_P$  on input

16-38: **Rice's Theorem – Proof**

- $M'$ :
  - Save input
  - Erase input, simulate running  $M$  on  $w$
  - Restore input
  - Simulates running  $M_P$  on input
- If  $M$  halts on  $w$ ,  $L[M'] = L_P$ , and  $L[M']$  does have property  $P$
- If  $M$  does not halt on  $w$ ,  $L[M'] = \{\}$ , and  $L[M']$  does not have property  $P$

16-39: **Undecidability**

- How many undecidable languages are there?
  - A language is a set of strings
  - Set of all languages over  $\Sigma^*$  is the set of all subsets of  $\Sigma^*$
  - Set of all languages over  $\Sigma^*$  is  $2^{\Sigma^*}$

16-40: **Undecidability**

- How many different languages over  $\Sigma^*$  are there?
  - The set of all languages over an alphabet  $\Sigma$  is  $2^{\Sigma^*}$
  - There is a bijection between strings and integers (lexigraphic ordering)
  - Thus, the number of different languages is  $2^{\Sigma^*} = |2^{\mathbb{N}}|$
- How big is  $2^{\mathbb{N}}$ ?

16-41: **Undecidability**

- $2^{\mathbb{N}}$  is uncountable
- Proof by contradiction (yet another diagonalization!)
  - Assume that there is a bijection between  $\mathbb{N}$  and  $2^{\mathbb{N}}$
  - Show that there must be an element of  $2^{\mathbb{N}}$  that is not in the bijection – contradiction!

16-42:  $2^{\mathbb{N}}$  is **Uncountable**

- Assume that there is a bijection between  $\mathbf{N}$  and  $2^{\mathbf{N}}$ 

0	{100, 8, 6}
1	{0, 1, 2, 3, 9, 11, 22}
2	{}
3	{2, 4, 6, 8, 10, 12, 14, 16, 18, 20, ...}
4	{2, 4, 8, 16, 32, 64, 128, 256, 512, 1024, ...}
5	{3, 9, 11, 23, 54, 128}
...	...
- We will find an element of  $2^{\mathbf{N}}$  that is not in the bijection

16-43:  $2^{\mathbf{N}}$  is **Uncountable**

- Let  $S_n$  be the set mapped to by  $n$  in the bijection
- Consider the set  $S = \{x : x \in \mathbf{N}, S \notin S_x\}$ 

0	{100, 8, 6}
1	{0, 1, 2, 3, 9, 11, 22}
2	{}
3	{2, 4, 6, 8, 10, 12, 14, 16, 18, 20, ...}
4	{2, 4, 8, 16, 32, 64, 128, 256, 512, 1024, ...}
5	{3, 9, 11, 23, 54, 128}
...	...

$S = \{0, 2, 3, 5, \dots\}$

16-44: **# of Turing Machines**

- How many Turing Machines are there?
  - Any Turing Machine can be represented by a finite string of 0's and 1's
  - There is a bijection between set of all Turing Machines and  $\mathbf{N}$
  - Countable # of Turing Machines

16-45: **Undecidability**

- Each language represents a problem
- Each Turing Machine represents a solution to a problem
- There are a countable number of Turing Machines, and an uncountable number of languages
  - Vastly more undecidable problems than decidable problems!