# Automata Theory CS411-2015S-18 

# Complexity Theory II: Class NP 

David Galles

Department of Computer Science
University of San Francisco

## 18-0: Language Class P

- A language $L$ is polynomially decidable if there exists a polynomially bound deterministic Turing machine that decides it.
- A Turing Machine $M$ is polynomially bound if:
- There exists some polynomial function $p(n)$
- For any input string $w, M$ always halts within $p(|w|)$ steps
- The set of languages that are polynomially decidable is $\mathbf{P}$


## 18-1: Language Class NP

- A language $L$ is non-deterministically polynomially decidable if there exists a polynomially bound non-deterministic Turing machine that decides it.
- A Non-Deterministic Turing Machine $M$ is polynomially bound if:
- There exists some polynomial function $p(n)$
- For any input string $w, M$ always halts within $p(|w|)$ steps, for all computational paths
- The set of languages that are non-deterministically polynomially decidable is NP


## 18-2: Language Class NP

- If a Language $L$ is in NP:
- There exists a non-deterministic Turing machine $M$
- $M$ halts within $p(|w|)$ steps for all inputs $w$, in all computational paths
- If $w \in L$, then there is at least one computational path for $w$ that accepts (and potentially several that reject)
- If $w \notin L$, then all computational paths for $w$ reject
- A problem is in P if we can generate a solution quickly (that is, in polynomial time
- A problem is in NP if we can check to see if a potential solution is correct quickly
- Non-deterministically create (guess) a potential solution
- Check to see that the solution is correct
- All problems in P are also in NP
- That is, $\mathbf{P} \subseteq \mathbf{N P}$
- If you can generate correct solutions, you can check if a guessed solution is correct


## 18-5: NP Problems

- Finding Hamiltonian Cycles is NP
- Non-deterministically pick a permutation of the nodes of the graph
- First, non-deterministically pick any node in the graph, and place it first in the permutation
- Then, non-deterministically pick any unchosen node in the graph, and place it second in the permutation
- . . .
- Check to see if that permutation forms a valid cycle


## 18-6: NP Problems

- Traveling Salesman decision problem is NP
- Non-deterministically pick a permutation of the nodes of the graph
- First, non-deterministically pick any node in the graph, and place it first in the permutation
- Then, non-deterministically pick any unchosen node in the graph, and place it second in the permutation
- . . .
- Check to see if the cost of that cycle is within the cost bound.


## 18-7: Integer Partition

- Integer Partition is NP
- Non-deterministically pick a subset $P \subset S$
- Check to see if:

$$
\sum_{p \in P} p=\sum_{s \in S-P} s
$$

## 18-8: NP Problems

- Satisfiability is NP
- Count the number of variables in the formula
- Non-deterministically write down True or False for each of the $n$ variables in the formula
- Check to see if that truth assignment satisfies the formula


## 18-9: Reduction Redux

- Given a problem instance $P$, if we can
- Create an instance of a different problem $P^{\prime}$, in polynomial time, such that the solution to $P^{\prime}$ is the same as the solution to $P$
- Solve the instance $P^{\prime}$ in polynomial time
- Then we can solve $P$ in polynomial time


## 18-10: Reduction Example

- If we could solve the Traveling Salesman decision problem in polynomial time, we could solve the Hamiltonian Cycle problem in polynomial time
- Given any graph $G$, we can create a new graph $G^{\prime}$ and limit $k$, such that there is a Hamiltonian Circuit in $G$ if and only if there is a Traveling Salesman tour in $G^{\prime \prime}$ with cost less than $k$
- Vertices in $G^{\prime}$ are the same as the vertices in $G$
- For each pair of vertices $x_{i}$ and $x_{j}$ in $G$, if the edge $\left(x_{i}, x_{j}\right)$ is in $G$, add the edge $\left(x_{i}, x_{j}\right)$ to $G^{\prime}$ with the cost 1 . Otherwise, add the edge $\left(x_{i}, x_{j}\right)$ to $G^{\prime}$ with the cost 2.
- Set the limit $k=\#$ of vertices in $G$


## 18-11: Reduction Example



Limit $=4$

## 18-12: Reduction Example

- If we could solve TSP in polynomial time, we could solve Hamiltonian Cycle problem in polynomial time
- Start with an instance of Hamiltonian Cycle
- Create instance of TSP
- Feed instance of TSP into TSP solver
- Use result to find solution to Hamiltonian Cycle


## 18-13: Reduction Example \#2

- Given any instance of the Hamiltonian Cycle Problem:
- We can (in polynomial time) create an instance of Satisfiability
- That is, given any graph $G$, we can create a boolean formula $f$, such that $f$ is satisfiable if and only if there is a Hamiltonian Cycle in $G$
- If we could solve Satisfiability in Polynomial Time, we could solve the Hamiltonian Cycle problem in Polynomial Time


## 18-14: Reduction Example \#2

- Given a graph $G$ with $n$ vertices, we will create a formula with $n^{2}$ variables:
- $x_{11}, x_{12}, x_{13}, \ldots x_{1 n}$
$x_{21}, x_{22}, x_{23}, \ldots x_{2 n}$
$x_{n 1}, x_{n 2}, x_{n 3}, \ldots x_{n n}$
- Design our formula such that $x_{i j}$ will be true if and only if the $i$ th element in a Hamiltonian Circuit of $G$ is vertex \# $j$


## 18-15: Reduction Example \#2

- For our set of $n^{2}$ variables $x_{i j}$, we need to write a formula that ensures that:
- For each $i$, there is exactly one $j$ such that $x_{i j}=$ true
- For each $j$, there is exactly one $i$ such that $x_{i j}=$ true
- If $x_{i j}$ and $x_{(i+1) k}$ are both true, then there must be a link from $v_{j}$ to $v_{k}$ in the graph $G$


## 18-16: Reduction Example \#2

- For each $i$, there is exactly one $j$ such that $x_{i j}=$ true
- For each $i$ in $1 \ldots n$, add the rules:
- $\left(x_{i 1} \vee x_{i 2} \vee \ldots \vee x_{i n}\right)$
- This ensures that for each $i$, there is at least one $j$ such that $x_{i j}=$ true
- (This adds $n$ clauses to the formula)


## 18-17: Reduction Example \#2

- For each $i$, there is exactly one $j$ such that $x_{i j}=$ true
for each $i$ in $1 \ldots$ n for each $j$ in $1 . . . n$ for each $k$ in $1 \ldots n \quad j \neq k$ Add rule $\left(\overline{x_{i j}} \vee \overline{x_{i k}}\right)$
- This ensures that for each $i$, there is at most one $j$ such that $x_{i j}=$ true
- (this adds a total of $n^{3}$ clauses to the formula)


## 18-18: Reduction Example \#2

- For each $j$, there is exactly one $i$ such that $x_{i j}=$ true
- For each $j$ in $1 \ldots n$, add the rules:
- $\left(x_{1 j} \vee x_{2 j} \vee \ldots \vee x_{n j}\right)$
- This ensures that for each $j$, there is at least one $i$ such that $x_{i j}=$ true
- (This adds $n$ clauses to the formula)


## 18-19: Reduction Example \#2

- For each $j$, there is exactly one $i$ such that $x_{i j}=$ true
for each $j$ in $1 \ldots$. . n for each $i$ in $1 \ldots$. . n for each $k$ in $1 \ldots n$ Add rule $\left(\overline{x_{i j}} \vee \overline{x_{k j}}\right)$
- This ensures that for each $j$, there is at most one $i$ such that $x_{i j}=$ true
- (This adds a total of $n^{3}$ clauses to the formula)


## 18-20: Reduction Example \#2

- If $x_{i j}$ and $x_{(i+1) k}$ are both true, then there must be a link from $v_{i}$ to $v_{k}$ in the graph $G$
for each $i$ in $1 \ldots(n-1)$ for each $j$ in $1 \ldots$. . n for each $k$ in $1 \ldots n$ if edge $\left(v_{j}, v_{k}\right)$ is not in the graph: Add rule $\left(\overline{x_{i j}} \vee \overline{x_{(i+1) k}}\right)$
- (This adds no more than $n^{3}$ clauses to the formula)


## 18-21: Reduction Example \#2

- If $x_{n j}$ and $x_{0 k}$ are both true, then there must be a link from $v_{j}$ to $v_{k}$ in the graph $G$ (looping back to finish cycle)
for each $j$ in $1 \ldots$. . n for each $k$ in $1 \ldots n$ if edge $\left(v_{j}, v_{k}\right)$ is not in the graph: Add rule $\left(\overline{x_{n j}} \vee \overline{x_{0 k}}\right)$
- (This adds no more than $n^{2}$ clauses to the formula)


## 18-22: Reduction Example \#2

- In order for this formula to be satisfied:
- For each $i$, there is exactly one $j$ such that $x_{i j}$ is true
- For each $j$, there is exactly one $i$ such that $x_{j i}$ is true
- if $x_{i j}$ is true, and $x_{(i+1) k}$ is true, then there is an arc from $v_{j}$ to $v_{k}$ in the graph $G$
- Thus, the formula can only be satisfied if there is a Hamiltonian Cycle of the graph


## 18-23: NP-Complete

- A language $L$ is NP-Complete if:
- $L$ is in NP
- If we could decide $L$ in polynomial time, then all NP languages could be decided in polynomial time
- That is, we could reduce any NP problem to $L$ in polynomial time


## 18-24: NP-Complete

- How do you show a problem is NP-Complete?
- Given any polynomially-bound non-deterministic Turing machine $M$ and string $w:$
- Create an instance of the problem that has a solution if and only if $M$ accepts $w$


## 18-25: NP-Complete

- First NP-Complete Problem: Satisfiability (SAT)
- Given any (possibly non-deterministic) Turing Machine $M$, string $w$, and polynomial bound $p(n)$
- Create a boolean formula $f$, such that $f$ is satisfiable if and only of $M$ accepts $w$


## 18-26: Cook's Theorem

- Satisfiability is NP-Complete
- Given a Turing Machine $M$, string $w$, polynomial bound $p(n)$, we will create:
- A set of variables
- A set of clauses containing these variables
- Such that the conjunction ( $\wedge$ ) of the clauses is satisfiable if and only if $M$ accepts $w$ within $p(|w|)$ steps
- WARNING: This explaination is somewhat simplifed. Some subtleties have been eliminated for clarity.


## 18-27: Cook's Theorem

- Variables
- $Q[i, k]$ at time $i$, machine is in state $q_{k}$
- $H[i, j]$ at time $i$, the machine is scanning tape square $j$
- $S[i, j, k]$ at time $i$, the contents of tape location $j$ is the symbol $k$
- How many of each of these variables are there?


## 18-28: Cook's Theorem

- Variables
- $Q[i, k]$

$$
\begin{aligned}
& |K| * p(|w|) \\
& p(|w|) * p(|w|) \\
& p(|w|) * p(|w|) *|\Sigma|
\end{aligned}
$$

- How many of each of these variables are there?


## 18-29: Cook's Theorem

$G_{1}$ At each time $i, M$ is in exactly one state
$G_{2}$ At each time $i$, the read-write head is scanning one tape square
$G_{3}$ At each time $i$, each tape square contains exactly one symbol
$G_{4}$ At time 0, the computation is in the initial configuration for input $w$
$G_{5}$ By time $p(|w|), M$ has entered the final state and has hence accepted $w$
$G_{6}$ For each time $i$, the configuration of the $M$ at $i+1$ follows by a single application of $\delta$

## 18-30: Cook's Theorem

$G_{1}$ At each time $i, M$ is in exactly one state

$$
(Q[i, 0] \vee Q[i, 1] \vee \ldots \vee Q[i,|K|])
$$

for each $0 \leq i \leq p(|w|)$

$$
\left(\overline{Q[i, j]} \vee \overline{Q\left[i, j^{\prime}\right]}\right)
$$

for each $0 \leq i \leq p(|w|), 0 \leq j<j^{\prime} \leq|K|$

## 18-31: Cook's Theorem

$G_{2}$ At each time $i$, the read-write head is scanning one tape square

$$
(H[i, 0] \vee H[i, 1] \vee \ldots \vee H[i, p(|w|)])
$$

for each $0 \leq i \leq p(|w|)$

$$
\left(\overline{H[i, j]} \vee \overline{H\left[i, j^{\prime}\right]}\right)
$$

for each $0 \leq i \leq p(|w|), 0 \leq j<j^{\prime} \leq p(|w|)$

### 18.32: Cook's Theorem

$G_{3}$ At each time $i$, each tape square contains exactly one symbol

$$
(S[i, j, 0] \vee S[i, j, 1] \vee \ldots \vee S[i, j,|\Sigma|])
$$

for each $0 \leq i \leq p(|w|), 0 \leq j \leq p(|w|)$

$$
\left(\overline{S[i, j, k]} \vee \overline{S\left[i, j, k^{\prime}\right]}\right)
$$

for each
$0 \leq i \leq p(|w|), 0 \leq j \leq p(|w|), 0 \leq k<k^{\prime} \leq|\Sigma|$

## 18-33: Cook's Theorem

$G_{4}$ At time 0, the computation is in the initial configuration for input $w$
$Q[0,0]$
$H[0,1]$
$S[0,0,0]$
$S\left[0,1, w_{1}\right]$
$S\left[0,2, w_{2}\right]$
$S\left[0,|w|, w_{|w|}\right]$
$S[0,|w|+1,0]$
$S[0,|w|+2,0]$
$S[0, p(|w|), 0]$

## 18-34: Cook's Theorem

$G_{5}$ By time $p(|w|), M$ has entered the final state and has hence accepted $w$

$$
Q[p(|w|), r]
$$

Where $q_{r}$ is the accept state

## 18-35: Cook's Theorem

$G_{6}$ For each time $i$, the configuration of the $M$ at $i+1$ follows by a single application of $\delta$

For each deterministic transtion $\left(\left(q_{k}, \Sigma_{a}\right),\left(q_{l}, \rightarrow\right)\right)$
For alll $0 \leq i \leq p(|w|), 0 \leq j \leq p(|w|)$
Add:

$$
\begin{aligned}
& Q[i, k] \wedge H[i, j] \wedge S[i, j, a] \Rightarrow H[i+1, j+1] \\
& Q[i, k] \wedge H[i, j] \wedge S[i, j, a] \Rightarrow Q[i+1, l]
\end{aligned}
$$

## 18-36: Cook's Theorem

$G_{6}$ For each time $i$, the configuration of the $M$ at $i+1$ follows by a single application of $\delta$

For each deterministic transtion $\left(\left(q_{k}, \Sigma_{a}\right),\left(q_{l}, \leftarrow\right)\right)$
For alll $0 \leq i \leq p(|w|), 0 \leq j \leq p(|w|)$
Add:

$$
\begin{aligned}
& Q[i, k] \wedge H[i, j] \wedge S[i, j, a] \Rightarrow H[i+1, j-1] \\
& Q[i, k] \wedge H[i, j] \wedge S[i, j, a] \Rightarrow Q[i+1, l]
\end{aligned}
$$

## 18-37: Cook's Theorem

$G_{6}$ For each time $i$, the configuration of the $M$ at $i+1$ follows by a single application of $\delta$

For each deterministic transtion $\left(\left(q_{k}, \Sigma_{a}\right),\left(q_{l}, \Sigma_{b}\right)\right)$
For alll $0 \leq i \leq p(|w|), 0 \leq j \leq p(|w|)$
Add:

$$
\begin{aligned}
& Q[i, k] \wedge H[i, j] \wedge S[i, j, a] \Rightarrow H[i+1, j] \\
& Q[i, k] \wedge H[i, j] \wedge S[i, j, a] \Rightarrow Q[i+1, l] \\
& Q[i, k] \wedge H[i, j] \wedge S[i, j, a] \Rightarrow S[i, j, b]
\end{aligned}
$$

## 18-38: Cook's Theorem

each time $i$, the configuration of the $M$ at $i+1$ ows by a single application of $\delta$
h non-deterministic transtion $\left(\left(q_{k}, \Sigma_{a}\right),\left(q_{l}, \rightarrow\right)\right)$ and $\left(\left(q_{k}, \Sigma_{a}\right),\left(q_{m}\right.\right.$ alll $0 \leq i \leq p(|w|), 0 \leq j \leq p(|w|)$ Add:

$$
\begin{aligned}
& Q[i, k] \wedge H[i, j] \wedge S[i, j, a] \Rightarrow H[i+1, j+1] \\
& Q[i, k] \wedge H[i, j] \wedge S[i, j, a] \Rightarrow Q[i+1, l] \vee Q[i+1, m]
\end{aligned}
$$

## 18-39: Cook's Theorem

$G_{6}$ For each time $i$, the configuration of the $M$ at $i+1$ follows by a single application of $\delta$

- ... similar rules for other non-deterministic cases


## 18-40: Cook's Theorem

$G_{6}$ For each time $i$, the configuration of the $M$ at $i+1$ follows by a single application of $\delta$
$H[i, j] \wedge S[i, k, a] \Rightarrow S[i+1, k, a]$
for all values of $k, j$ between 0 and $p(|w|)$ where $k \neq j$, and all values $0 \leq a<|\Sigma|$

## 18-41: More NP-Complete Problems

- So, if we could solve Satisfiability in Polynomial Time, we could solve any NP problem in polynomial time
- Including factoring large numbers ...
- Satisfiability is NP-Complete
- There are many NP-Complete problems
- Prove NP-Completeness using a reduction


## 18-42: More NP-Complete Problems

- Exact Cover Problem
- Set of elements $A$
- $F \subset 2^{A}$, family of subsets
- Is there a subset of $F$ such that each element of $A$ appears exactly once?


## 18-43: More NP-Complete Problems

- Exact Cover Problem
- $A=\{a, b, c, d, e, f, g\}$
- $F=\{\{a, b, c\},\{d, e, f\},\{b, f, g\},\{g\}\}$
- Exact cover exists: $\{a, b, c\},\{d, e, f\},\{g\}$


## 18-44: More NP-Complete Problems

- Exact Cover Problem
- $A=\{a, b, c, d, e, f, g\}$
- $F=\{\{a, b, c\},\{c, d, e, f\},\{a, f, g\},\{c\}\}$
- No exact cover exists


## 18-45: More NP-Complete Problems

- Exact Cover is in NP
- Guess a cover
- Check that each element appears exactly once
- Exact Cover is NP-Complete
- Reduction from Satisfiability
- Given any instance of Satisfiability, create (in polynomial time) an instance of Exact Cover


## 18-46: Exact Cover is NP-Complete

- Given an instance of SAT:
- $C_{1}=\left(x_{1}, \vee \overline{x_{2}}\right)$
- $C_{2}=\left(\overline{x_{1}} \vee x_{2} \vee x_{3}\right)$
- $C_{3}=\left(x_{2}\right)$
- $C_{4}=\left(\overline{x_{2}}, \overline{x_{3}}\right)$
- Formula: $C_{1} \wedge C_{2} \wedge C_{3} \wedge C_{4}$
- Create an instance of Exact Cover
- Define a set $A$ and family of subsets $F$ such that there is an exact cover of $A$ in $F$ if and only if the formula is satisfiable


## 18-47: Exact Cover is NP-Complete

$$
\begin{aligned}
& C_{1}=\left(x_{1} \vee \overline{x_{2}}\right) C_{2}=\left(\overline{x_{1}} \vee x_{2} \vee x_{3}\right) C_{3}=\left(x_{2}\right) C_{4}=\left(\overline{x_{2}} \vee \overline{x_{3}}\right) \\
& A=\left\{x_{1}, x_{2}, x_{3}, C_{1}, C_{2}, C_{3}, C_{4}, p_{11}, p_{12}, p_{21}, p_{22}, p_{23}, p_{31}, p_{41}, p_{42}\right\} \\
& F=\left\{\left\{p_{11}\right\},\left\{p_{12}\right\},\left\{p_{21}\right\},\left\{p_{22}\right\},\left\{p_{23}\right\},\left\{p_{31}\right\},\left\{p_{41}\right\},\left\{p_{42}\right\},\right. \\
& X_{1}, f=\left\{x_{1}, p_{11}\right\} \\
& X_{1}, t=\left\{x_{1}, p_{21}\right\} \\
& X_{2}, f=\left\{x_{2}, p_{22}, p_{31}\right\} \\
& X_{2}, t=\left\{x_{2}, p_{12}, p_{41}\right\} \\
& X_{3}, f=\left\{x_{3}, p_{23}\right\} \\
& X_{3}, t=\left\{x_{3}, p_{42}\right\}
\end{aligned}
$$

$\left\{C_{1}, p_{11}\right\},\left\{C_{1}, p_{12}\right\},\left\{C_{2}, p_{21}\right\},\left\{C_{2}, p_{22}\right\},\left\{C_{2}, p_{23}\right\},\left\{C_{3}, p_{31}\right\}$, $\left.\left\{C_{4}, p_{41}\right\},\left\{C_{4}, p_{422}\right\}\right\}$

## 18-48: Knapsack

- Given a set of integers $S$ and a limit $k$ :
- Is there some subset of $S$ that sums to $k$ ?
- $\{3,5,11,15,20,25\}$ Limit: 36
- $\{5,11,20\}$
- $\{2,5,10,12,20,27\}$ Limit: 43
- No solution
- Generalized version of Integer Partition problem


## 18-49: Knapsack

- Knapsack is NP-Complete
- By reduction from Exact Cover
- Given any Exact Cover problem (set $A$, family of subsets $F$ ), we will create a Knapsack problem (set $S$, limit $k$ ), such that there is a subset of $S$ that sums to $k$ if and only if there is an exact cover of $A$ in $F$


## 18-50: Knapsack

- Each set will be represented by a number -bit-vector representation of the set $A=\left\{a_{1}, a_{2}, a_{3}, a_{4}\right\}$

Set
$F_{1}=\left\{a_{1}, a_{2}, a_{3}\right\} \quad 1110$
$F_{2}=\left\{a_{2}, a_{4}\right\}$
$F_{3}=\left\{a_{1}, a_{3}\right\}$ 1010

$$
F_{4}=\left\{a_{2}, a_{3}, a_{4}\right\} \quad 0111
$$

There is an exact cover if some subset of the numbers sum to ...

## 18-51: Knapsack

- Each set will be represented by a number -bit-vector representation of the set $A=\left\{a_{1}, a_{2}, a_{3}, a_{4}\right\}$

Set

$$
\begin{array}{ll}
F_{1}=\left\{a_{1}, a_{2}, a_{3}\right\} & 1110 \\
F_{2}=\left\{a_{2}, a_{4}\right\} & 0101 \\
F_{3}=\left\{a_{1}, a_{3}\right\} & 1010 \\
F_{4}=\left\{a_{2}, a_{3}, a_{4}\right\} & 0111
\end{array}
$$

There is an exact cover if some subset of the numbers sum to 1111

## 18-52: Knapsack

- Bug in our reduction:
$A=\left\{a_{1}, a_{2}, a_{3}, a_{4}\right\}$

Set
$F_{1}=\left\{a_{2}, a_{3}, a_{4}\right\} \quad 0111$
$F_{2}=\left\{a_{2}, a_{4}\right\} \quad 0101$
$F_{3}=\left\{a_{3}\right\} \quad 0010$
$F_{3}=\left\{a_{4}\right\} \quad 0001$
$F_{4}=\left\{a_{1}, a_{3}, a_{4}\right\} \quad 1011$

- $0111+0101+0001+0010=1111$
- What can we do?


## 18-53: Knapsack

- Construct the numbers just as before
- Do addition in base $m$, where $m$ is the number of element in $A$. $A=\left\{a_{1}, a_{2}, a_{3}, a_{4}\right\}$

| Set | Number |
| :--- | :--- |
| $F_{1}=\left\{a_{2}, a_{3}, a_{4}\right\}$ | 0111 |
| $F_{2}=\left\{a_{2}, a_{4}\right\}$ | 0101 |
| $F_{3}=\left\{a_{3}\right\}$ | 0010 |
| $F_{3}=\left\{a_{4}\right\}$ | 0001 |
| $F_{4}=\left\{a_{1}, a_{3}, a_{4}\right\}$ | 1011 |

- $0111+0101+0001+0010=0223$
- No subset of numbers sums to 1111


## 18-54: Integer Partition

- Integer Partition
- Special Case of the Knapsack problem
- "Half sum" $H$ (sum of all elements in the set / 2) is an integer
- Limit $k=H$
- Integer Partition is NP-Complete
- Reduce Knapsack to Integer Partition


## 18-55: Integer Partition

- Given any instance of the Knapsack problem
- Set of integers $S=\left\{a_{1}, a_{2}, \ldots, a_{n}\right\}$ limit $k$
- Is there a subset of $S$ that sums to $k$ ?
- Create an instance of Integer Partition
- Set of integers $S=\left\{a_{1}, a_{2}, \ldots, a_{m}\right\}$
- Can we divde $S$ into two subsets that have the same sum?
- Equivalently, is there a subset if $S$ that sums to $H=\left(\sum_{i=1}^{m} a_{i}\right) / 2$


## 18-56: Integer Partition

- Given any instance of the Knapsack problem
- Set of integers $S=\left\{a_{1}, a_{2}, \ldots, a_{n}\right\}$ limit $k$
- We create the following instance of Integer Partition:
- $S^{\prime \prime}=S \cup\{2 H+2 k, 4 H\}$ ( $H$ is the half sum of S)


## 18-57: Integer Partition

- $S^{\prime}=S \cup\{2 H+2 k, 4 H\}$ ( $H$ is the half sum of $S$ )
- If there is a partion for $S^{\prime \prime}, 2 H+2 k$ and $4 H$ must be in separate partitions (why)?

$$
4 H+\sum_{a_{i} \in P} a_{i}=2 H+2 k+\sum_{a_{j} \in S-P} a_{j}
$$

## 18-58: Integer Partition

$$
4 H+\sum_{a_{i} \in P} a_{i}=2 H+2 k+\sum_{a_{j} \in S-P} a_{j}
$$

- Adding $\sum_{a_{i} \in P} a_{i}$ to both sides:

$$
\begin{aligned}
4 H+2 \sum_{a_{i} \in P} a_{i} & =2 H+2 k+\sum_{a_{j} \in S} a_{j} \\
4 H+2 \sum_{a_{i} \in P} a_{i} & =4 H+2 k \\
\sum_{a_{i} \in P} a_{i} & =k
\end{aligned}
$$

## 18-59: Directed Hamiltonian Cycle

- Given any directed graph $G$, determine if $G$ has a a Hamiltonian Cycle
- Cycle that includes every node in the graph exactly once, following the direction of the arrows



## 18-60: Directed Hamiltonian Cycle

- Given any directed graph $G$, determine if $G$ has a a Hamiltonian Cycle
- Cycle that includes every node in the graph exactly once, following the direction of the arrows



## 18-61: Directed Hamiltonian Cycle

- The Directed Hamiltonian Cycle problem is NP-Complete
- Reduce Exact Cover to Directed Hamiltonian Cycle
- Given any set $A$, and family of subsets $F$ :
- Create a graph $G$ that has a hamiltonian cycle if and only if there is an exact cover of $A$ in $F$


## 18-62: Directed Hamiltonian Cycle

- Widgets:
- Consider the following graph segment:

- If a graph containing this subgraph has a Hamiltonian cycle, then the cycle must contain either $a \rightarrow u \rightarrow v \rightarrow w \rightarrow b$ or $c \rightarrow w \rightarrow v \rightarrow u \rightarrow d$ - but not both (why)?


## 18-63: Directed Hamiltonian Cycle

- Widgets:
- XOR edges: Exactly one of the edges must be used in a Hamiltonian Cycle



## 18-64: Directed Hamiltonian Cycle

- Widgets:
- XOR edges: Exactly one of the edges must be used in a Hamiltonian Cycle



## 18-65: Directed Hamiltonian Cycle

- Add a vertex for every variable in $A$ (+ 1 extra)

$$
\begin{array}{ll}
a_{3} \bigcirc \\
a_{2} \bigcirc
\end{array} \begin{aligned}
& F_{1}=\left\{a_{1}, a_{2}\right\} \\
& F_{2}=\left\{a_{3}\right\} \\
& F_{3}=\left\{a_{2}, a_{3}\right\}
\end{aligned}
$$

$$
a_{0} \quad \bigcirc
$$

## 18-66: Directed Hamiltonian Cycle

- Add a vertex for every subset $F$ (+ 1 extra)
$a_{3} O$
○ $F_{0}$

$$
\begin{aligned}
& \mathrm{F}_{1}=\left\{a_{1}, a_{2}\right\} \\
& \mathrm{F}_{2}=\left\{a_{3}\right\} \\
& \mathrm{F}_{3}=\left\{a_{2}, a_{3}\right\}
\end{aligned}
$$

$$
a_{2} \quad 0
$$

$\bigcirc \quad \mathrm{F}_{1}$
$a_{1} \quad 0$
O $\quad \mathrm{F}_{2}$
$a_{0} \quad 0$
$\mathrm{O} \quad \mathrm{F}_{3}$

## 18-67: Directed Hamiltonian Cycle

- Add an edge from the last variable to the Oth subset, and from the last subset to the Oth variable

| $a_{3} O \longrightarrow O$ | $F_{0}$ | $F_{1}=\left\{a_{1}, a_{2}\right\}$ <br> $F_{2}=\left\{a_{3}\right\}$ <br> $F_{3}=\left\{a_{2}, a_{3}\right\}$ <br> $a_{2} O$ |  |
| :--- | :--- | :--- | :--- |
|  |  | $F_{1}$ |  |
| $a_{1} O$ | $O$ | $F_{2}$ |  |



## 18-68: Directed Hamiltonian Cycle

- Add 2 edges from $F_{i}$ to $F_{i+1}$. One edge will be a "short edge", and one will be a "long edge".


$$
\begin{aligned}
& \mathrm{F}_{1}=\left\{a_{1}, a_{2}\right\} \\
& \mathrm{F}_{2}=\left\{a_{3}\right\} \\
& \mathrm{F}_{3}=\left\{a_{2}, a_{3}\right\}
\end{aligned}
$$

## 18-69: Directed Hamiltonian Cycle

- Add an edge from $a_{i-1}$ to $a_{i}$ for each subset $a_{i}$ appears in.


$$
\begin{aligned}
& \mathrm{F}_{1}=\left\{a_{1}, a_{2}\right\} \\
& \mathrm{F}_{2}=\left\{a_{3}\right\} \\
& \mathrm{F}_{3}=\left\{a_{2}, a_{3}\right\}
\end{aligned}
$$

## 18-70: Directed Hamiltonian Cycle

- Each edge $\left(a_{i-1}, a_{i}\right)$ corresponds to some subset that contains $a_{i}$. Add an XOR link between this edge and the long edge of the corresponding subset


## 18-72: Directed Hamiltonian Cycle



$$
\begin{aligned}
& \mathrm{F}_{1}=\left\{a_{2}, a_{4}\right\} \\
& \mathrm{F}_{2}=\left\{a_{2}, a_{4}\right\} \\
& \mathrm{F}_{3}=\left\{a_{1}, a_{3}\right\} \\
& \mathrm{F}_{4}=\left\{a_{2}\right\} \\
& \underset{\text { XOR edge }}{ }
\end{aligned}
$$

