# Data Structures and Algorithms CS245-2017S-10 Huffman Codes 

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- All files are represented as binary digits - including text files
- Each character is represented by an integer code - ASCII - American Standard Code for Information Interchange
- Text file is a sequence of binary digits which represent the codes for each character.
- Each character can be represented as an 8-bit number
- ASCII for $\mathrm{a}=97=01100001$
- ASCII for $\mathrm{b}=98=01100010$
- Text file is a sequence of 1 's and 0's which represent ASCII codes for characters in the file
- File "aba" is 97, 97, 98
- 011000010110001001100001
- Each character in ASCII is represented as 8 bits
- We need 8 bits to represent all possible character combinations
- (including control characters, and unprintable characters)
- Breaking up file into individual characters is easy
- Finding the kth character in a file is easy
- ASCII is not terribly efficient
- All characters require 8 bits
- Frequently used characters require the same number of bits as infrequently used characters
- We could be more efficient if frequently used characters required fewer than 8 bits, and less frequently used characters required more bits


## 10-4: Representing Codes as Trees

- Want to encode 4 only characters: a, b, c, d (instead of 256 characters)
- How many bits are required for each code, if each code has the same length?


## 10-5: Representing Codes as Trees

- Want to encode 4 only characters: a, b, c, d (instead of 256 characters)
- How many bits are required for each code, if each code has the same length?
- 2 bits are required, since there are 4 possible options to distinguish


## 10-6: Representing Codes as Trees

- Want to encode 4 only characters: a, b, c, d
- Pick the following codes:
- a: 00
- b: 01
- c: 10
- d: 11
- We can represent these codes as a tree
- Characters are stored at the leaves of the tree
- Code is represented by path to leaf


## 10-7: Representing Codes as Trees

- a: 00, b: 01, c: 10, d:11



## 10-8: Representing Codes as Trees

- a: 01, b: 00, c: 11, d:10



## 10-9: Prefix Codes

- If no code is a prefix of any other code, then decoding the file is unambiguous.
- If all codes are the same length, then no code will be a prefix of any other code (trivially)
- We can create variable length codes, where no code is a prefix of any other code


## 10-10: Variable Length Codes

- Variable length code example:
- a: 0, b: 100, c: 101, d: 11
- Decoding examples:
- 100
- 10011
- 01101010010011


## 10-11: Prefix Codes \& Trees

- Any prefix code can be represented as a tree
- a: 0, b: 100, c: 101, d: 11



## 10-12: File Length

- If we use the code:
- a:00, b:01, c:10, d:11

How many bits are required to encode a file of 20 characters?

## 10-13: File Length

- If we use the code:
- a:00, b:01, c:10, d:11

How many bits are required to encode a file of 20 characters?

- 20 characters * 2 bits/character $=40$ bits


## 10-14: File Length

- If we use the code:
- a:0, b:100, c:101, d:11

How many bits are required to encode a file of 20 characters?

## 10-15: File Length

- If we use the code:
- a:0, b:100, c:101, d:11

How many bits are required to encode a file of 20 characters?

- It depends upon the number of a's, b's, c's and d's in the file


## 10-16: File Length

- If we use the code:
- a:0, b:100, c:101, d:11

How many bits are required to encode a file of:

- 11 a's, 2 b's, 2 c's, and 5 d's?


## 10-17: File Length

- If we use the code:
- a:0, b:100, c:101, d:11

How many bits are required to encode a file of:

- 11 a's, 2 b's, 2 c's, and 5 d's?
- $11^{*} 1+2^{*} 3+2^{*} 3+5^{*} 2=33<40$


## 10-18: Decoding Files

- We can use variable length keys to encode a text file
- Given the encoded file, and the tree representation of the codes, it is easy to decode the file

- 0111001010011


## 10-19: Decoding Files

- We can use variable length keys to encode a text file
- Given the encoded file, and the tree representation of the codes, it is easy to decode the file
- Finding the kth character in the file is more tricky


## 10-20: Decoding Files

- We can use variable length keys to encode a text file
- Given the encoded file, and the tree representation of the codes, it is easy to decode the file
- Finding the kth character in the file is more tricky
- Need to decode the first (k-1) characters in the file, to determine where the kth character is in the file


## 10-21: File Compression

- We can use variable length codes to compress files
- Select an encoding such that frequently used characters have short codes, less frequently used characters have longer codes
- Write out the file using these codes
- (If the codes are dependent upon the contents of the file itself, we will also need to write out the codes at the beginning of the file for decoding)


## 10-22: File Compression

- We need a method for building codes such that:
- Frequently used characters are represented by leaves high in the code tree
- Less Frequently used characters are represented by leaves low in the code tree
- Characters of equal frequency have equal depths in the code tree


## 10-23: Huffman Coding

- For each code tree, we keep track of the total number of times the characters in that tree appear in the input file
- We start with one code tree for each character that appears in the input file
- We combine the two trees with the lowest frequency, until all trees have been combined into one tree


## 10-24: Huffman Coding

- Example: If the letters a-e have the frequencies:
- a: 100, b: 20, c:15, d: 30, e: 1

```
a:100
```

$$
b: 20
$$

$$
c: 15
$$

$$
\mathrm{d}: 30
$$

$$
\text { e: } 1
$$

## 10-25: Huffman Coding

- Example: If the letters a-e have the frequencies:
- a: 100, b: 20, c:15, d: 30, e: 1


## a: 100

$b: 20$

d: 30

## 10-26: Huffman Coding

- Example: If the letters a-e have the frequencies:
- a: 100, b: 20, c:15, d: 30, e: 1

d: 30


## 10-27: Huffman Coding

- Example: If the letters a-e have the frequencies:
- a: 100, b: 20, c:15, d: 30, e: 1



## 10-28: Huffman Coding

- Example: If the letters a-e have the frequencies:
- a: 100, b: 20, c:15, d: 30, e: 1



## 10-29: Huffman Coding

- Example: If the letters a-e have the frequencies:
- a: 10, b: 10, c:10, d: 10, e: 10


## a:10

## b: 10

$c: 10$
d: 10

## e: 10

## 10-30: Huffman Coding

- Example: If the letters a-e have the frequencies:
- a: 10, b: 10, c:10, d: 10, e: 10

$\mathrm{d}: 10$
$e: 10$


## 10-31: Huffman Coding

- Example: If the letters a-e have the frequencies:
- a: 10, b: 10, c:10, d: 10, e: 10

e: 10


## 10-32: Huffman Coding

- Example: If the letters a-e have the frequencies:
- a: 10, b: 10, c:10, d: 10, e: 10



## 10-33: Huffman Coding

- Example: If the letters a-e have the frequencies:
- a: 10, b: 10, c:10, d: 10, e: 10



## 10:34: Huffman Trees \& Tables

- Once we have a Huffman tree, decoding a file is straightforward - but encoding a tree requires a bit more information.
- Given just the tree, finding an encoding can be difficult
- ... What would we like to have, to help with encoding?


## 10-35: Encoding Tables



## 10-36: Creating Encoding Table

- Traverse the tree
- Keep track of the path during the traversal
- When a leaf is reached, store the path in the table


## 10-37: Huffman Coding

- To compress a file using huffman coding:
- Read in the file, and count the occurrence of each character, and built a frequency table
- Build the Huffman tree from the frequencies
- Build the Huffman codes from the tree
- Print the Huffman tree to the output file (for use in decompression)
- Print out the codes for each character


## 10-38: Huffman Coding

- To uncompress a file using huffman coding:
- Read in the Huffman tree from the input file
- Read the input file bit by bit, traversing the Huffman tree as you go
- When a leaf is read, write the appropriate file to an output file


## 10-39: Printing out Trees

- To print out Huffman trees:
- Print out nodes in pre-order traversal
- Need a way of denoting which nodes are leaves and which nodes are interior nodes
- (Huffman trees are full - every node has 0 or 2 children)
- For each interior node, print out a 0 (single bit). For each leaf, print out a 1, followed by 8 bits for the character at the leaf


## 10-40: Compression?

- Is it possible that huffman compression would not compress the file?
- Is it possible that huffman compression could actually make the file larger?
- How?


## 10-41: Compression?

- What happens if all the charcters have the same frequency?
- What does the tree look like?
- What can we say about the lengths of the codes for each character?
- What does that mean for the file size?


## 10-42: Compression?

- What happens if all the charcters have the same frequency?
- All nodes are at the same depth in the tree (that is, 8)
- Each code will have a length of 8
- The encoded file will be the same size as the original file - plus the size required to encode the tree

